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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Koh Kimura, et al.

Examiner: Unassigned

Serial No: 09/975,490

Art Unit: 3739

Filed: October 11, 2001

Docket: 14991

For: PHYSIOLOGICAL TISSUE CLIPPING
APPARATUS, CLIPPING METHOD
AND CLIP UNIT MOUNTING METHOD

Dated: January 25, 2002

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. German Patent Application No. DE 27 35 706 B2, published October 18, 1979;
2. PCT Application No. WO 99/19016, published April 22, 1999;
3. German Patent Application No. DE 298 06 611 U1, published August 20, 1998;
4. PCT Application No. WO 96/35460, published November 14, 1996;
5. U.S. Patent No. 5,156,609, dated October 20, 1992, issued to Nakao et al.;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 25, 2002.

Dated: January 25, 2002

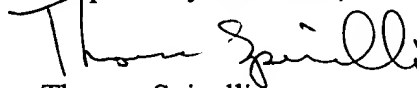

Mishelle Mustafa

6. U.S. Patent No. 4,733,664, dated March 29, 1988, issued to Kirsch et al.;
7. Japanese Patent Application No. 2713754, published October 31, 1997;
8. PCT Application No. WO 92/21400, published December 10, 1992;
9. PCT Application No. WO 97/43956, published November 27, 1997;
10. PCT Application No. WO 99/17830, published April 15, 1999;
11. European Patent Application No. EP 0 529 297 B1, published September 20, 1995
12. German Patent Application No. DE 195 34 323 A1, published March 20, 1997;
13. PCT Application No. WO 93/09721, published May 27, 1993;
14. U.S. Patent No. 5,571,129, dated November 5, 1996, issued to Porter;
15. Japanese Patent Application KOKAI Publication No. 8-19548, published January 23, 1996;
16. Japanese Patent Application KOKAI Publication No. 2-239855, published September 21, 1990;
17. Japanese Utility Model Application KOKAI Publication No. 5-91686, published December 14, 1993; and
18. Japanese Utility Model Application KOKAI Publication No. 62-78901 published May 20, 1987.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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3739

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14991

In Re Application of Koh, Kimura, et al.

Serial No.
09/975,498

Filing Date
October 11, 2001

Examiner
Unassigned

Group Art Unit
3739

Title: **PHYSIOLOGICAL TISSUE CLIPPING APPARATUS, CLIPPING METHOD AND CLIP UNIT MOUNTING METHOD**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14991

In Re Application Of: Koh Kimura, et al.

JAN 31 2002

Serial No.

09/975,490

Filing Date

October 11, 2001

Examiner

Unassigned

Group Art Unit

3739

Title: **PHYSIOLOGICAL TISSUE CLIPPING APPARATUS, CLIPPING METHOD AND CLIP UNIT MOUNTING METHOD****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and fee is being deposited on 1/25/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Dated: January 25, 2002

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CC: